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2/19/03

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: FPD-3

Applicants: Jay YOGESHWAR et al.

Serial No.: 09/839,355

Filing Date: April 20, 2001

Title: METHODS AND APPARATUS FOR INDEXING AND ARCHIVING ENCODED  
AUDIO/VIDEO DATA

Examiner: Not yet assigned

Group Art Unit: 2651

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R:

**SUBMISSION OF INFORMATION DISCLOSURE STATEMENT  
WITH CERTIFICATION UNDER 37 CFR 1.97(e)(1)**

Submitted herewith is a PCT International Search Report which issued in a corresponding PCT Application. A copy of the references cited in the Search Report is enclosed.

The applicant respectfully requests that the references listed on the attached PTO/SB/08A be considered in the examination of the above-identified application. A copy of each of these references is enclosed.

The applicant preserves the right to establish that any of the references listed on the attached PTO/SB/08A are not prior art to the above-captioned application.

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Certification Under 37 CFR 1.97(e)(1):

I hereby certify that each item of information contained in the information disclosure statement submitted herewith was first cited in a communication from the U.S. PCT Receiving Office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

No fee is believed due. However, in the event that a fee is due in order to have the Information Disclosure Statement submitted herewith considered, the Patent Office is authorized to charge said fee to the deposit account of Straub & Pokotylo, deposit account number 50-1049.

Respectfully submitted,

January 29, 2003

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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **January 29, 2003** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

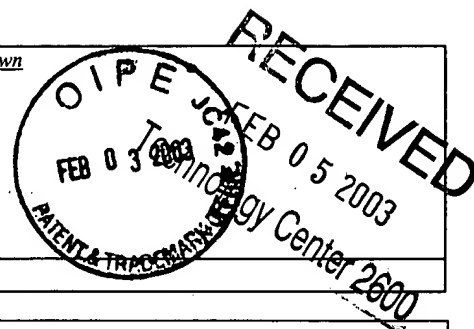
Michael P. Straub  
Michael P. Straub

36,941  
Reg. No.

U.S. Department of Commerce  
Patent and Trademark Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Application Number: **PCT/US02/12427**  
Filing Date: **April 19, 2002**  
First Named Inventor: **Jay Yogeshwar**  
Group Art Unit: **Not yet assigned**  
Examiner Name: **Philippe Becamel**  
Attorney Docket No.: **FPD-3**



Sheet	1	of	1
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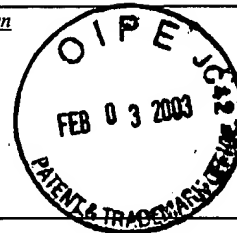
[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document <sup>2</sup> Office <sup>3</sup> Number <sup>4</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AW	WO 98/21688	5-22-1998	Sarnoff Corporation		
	AX	EP 0 912 063 A2	4-28-1999	Matsushita Electric Industrial Co., Ltd.		
	AY	EP 0 919 952 A1	6-2-1999	Ecole Polytechnique Federale De Lausanne		

Examiner Signature		Date Considered	
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1 Unique citation designation number. 2 See attached kinds of U.S. Patent Documents. 3 Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16, if possible. 6 Applicant is to place a check mark here if English language translation is attached.

U.S. Department of Commerce Patent and Trademark Office		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number: 09/839,355	
		Filing Date: April 20, 2001	
		First Named Inventor: Jay Yogeshwar	
		Group Art Unit: 2651	
		Examiner Name: Not yet assigned	
Sheet	2	of	2
		Attorney Docket No.: FPD-3	



## OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume, issue number(s), publisher, country, where published, source	T <sup>2</sup>
	AZ	PEREIRA M ET AL: "RE-CODABLE VIDEO" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON IMAGE PROCESSING (ICIP) AUCTIN, NOV. 13-16, 1994, LOS ALAMITOS, IEEE COMP. SOC. PRESS, US, vol. 2 CONF. 1, 13 November 1994 (1994-11-13) pages 952-956, XP000522756, ISBN: 0-8186-6952-7, page 953, first complete paragraph: "2. The 2-stage coding algorithm"	
	BA	CHANG S-F ET AL: "MULTIMEDIA SEARCH AND RETRIEVAL," MULTIMEDIA SYSTEMS, STANDARDS, AND NETWORKS, NEW YORK: MARCEL DEKKER, US. 2000, pages 559-584, I-II, XP001112907, ISBN: 0-8247-9303-X, the whole document.	
	BB	MANJUNATH B S ET AL: "IMAGE RETRIEVAL IN DIGITAL LIBRARIES" MULTIMEDIA SYSTEMS, STANDARDS, AND NETWORKS, NEW YORK: MARCEL DEKKER, US, 2000, pages 585-609, I-II, XP001112908, ISBN: 0-8247-9303-X, the whole document.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 Applicant is to place a check mark here if English language translation is attached.